

Please type a plus sign (+) inside this box →

PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-003  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 9-15504-1US  
First Inventor Omid McDONALD  
Title IDENTIFYING CHANGED RECORDS IN A  
FILE STORED ON AN ELECTRONIC TOKEN  
Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 22]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
5. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Cover letter, cheque

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: /

Prior application information:

Examiner

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		020988		or <input type="checkbox"/> Correspondence address below	
(Insert Customer No. or Attach bar code label here)					
Name		Swabey Ogilvy Renault			
Address					
City		State		Zip Code	
Country		Telephone	613-230-6072	Fax	613-230-6706

Name (Print/Type)	Max R. Wood	Registration No. (Attorney/Agent)	40,388
Signature		Date	Nov. 15/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 428.00

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Omid McDONALD  
Examiner Name  
Group Art Unit  
Attorney Docket No. 9-15504-1US

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-5113  
Deposit Account Name OGILVY RENAULT

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 370

### 2. EXTRA CLAIM FEES

Total Claims 22  
Independent Claims  
Multiple Dependent

Extra Claims  
-20\*\* = 2  
-3\*\* =

Fee from below  
9  
=

Fee Paid  
18  
=

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 18

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40

## SUBMITTED BY

Name (Print/Type) Max R. Wood

Signature

Registration No. 40,388  
(Attorney/Agent)

## Complete (if applicable)

Telephone 613-230-6076

Date Nov. 15/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Application or Docket Number  
**9-15504-1US**

CLAIMS AS FILED - PART I

(Column 1)

(Column 2)

FOR

NUMBER FILED

NUMBER EXTRA

BASIC FEE  
(37 CFR 1.16(a))

TOTAL CLAIMS  
(37 CFR 1.16(c))

22

minus 20 =

\*

2

INDEPENDENT CLAIMS  
(37 CFR 1.16(b))

2

minus 3 =

\*

0

MULTIPLE DEPENDENT CLAIM PRESENT

(37 CFR 1.16(d))

\* If the difference in column 1 is less than zero, enter "0" in column 2

SMALL ENTITY

OR

OTHER THAN SMALL ENTITY

RATE

FEE

\$ 370

x \$ 9 =

18

x =

0

+ =

TOTAL

388

RATE

FEE

\$

x \$ 18 =

x 80 =

+ =

TOTAL

0

CLAIMS AS AMENDED - PART II

(Column 1)

(Column 2)

(Column 3)

AMENDMENT A

CLAIMS REMAINING AFTER AMENDMENT

HIGHEST NUMBER PREVIOUSLY PAID FOR

PRESENT EXTRA

Total  
(37 CFR 1.16(c))

\*

Minus

\*\*

=

Independent  
(37 CFR 1.16(b))

\*

Minus

\*\*\*

=

FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM

(37 CFR 1.16(d))

SMALL ENTITY

OR

OTHER THAN SMALL ENTITY

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

AMENDMENT B

CLAIMS REMAINING AFTER AMENDMENT

HIGHEST NUMBER PREVIOUSLY PAID FOR

PRESENT EXTRA

Total  
(37 CFR 1.16(c))

\*

Minus

\*\*

=

Independent  
(37 CFR 1.16(b))

\*

Minus

\*\*\*

=

FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM

(37 CFR 1.16(d))

SMALL ENTITY

OR

OTHER THAN SMALL ENTITY

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

AMENDMENT C

CLAIMS REMAINING AFTER AMENDMENT

HIGHEST NUMBER PREVIOUSLY PAID FOR

PRESENT EXTRA

Total  
(37 CFR 1.16(c))

\*

Minus

\*\*

=

Independent  
(37 CFR 1.16(b))

\*

Minus

\*\*\*

=

FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM

(37 CFR 1.16(d))

SMALL ENTITY

OR

OTHER THAN SMALL ENTITY

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

RATE

ADDITIONAL FEE

x \$ =

x =

+ =

TOTAL

0

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.

[illegible]

# OGILVY RENAULT

11/16/01  
J1129 U.S. PTO

November 15, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231  
U.S.A.

Sir:

**Re: New United States Patent Application**  
**Title: IDENTIFYING CHANGED RECORDS IN A FILE**  
**STORED ON AN ELECTRONIC TOKEN**  
**Inventor(s): Omid McDONALD et al.**  
**Assignee: Simbit Corporation**  
**Our File: 9-15504-1US MRW**

Transmitted herewith for filing is the patent application of:

**INVENTORS:** Omid McDONALD  
Philip PAINTER  
David McDONALD

**TITLE OF THE INVENTION:**

**IDENTIFYING CHANGED RECORDS IN A FILE**  
**STORED ON AN ELECTRONIC TOKEN**

The following documents are enclosed:

- a) Utility Patent Application Transmittal PTO/SB/05
- b) Our cheque No. 008743

Fee Transmittal Form PTO/SB/17 (in duplicate) and Patent Application Fee  
Determination Record PTO/SB/06, covering:

**OGILVY  
RENAULT**

Filing Fee	\$370.00
Recording of Assignment	\$ 40.00
<b>Total Number of Claims:</b>	<b>22</b>
Claims in excess of 20 (2 @ \$9)	\$ <u>18.00</u>
Total	\$428.00

- c) Specification/Claims/Abstract
- d) Formal Drawings (4 Sheets - Figs. 1 - 7)
- e) Declaration under 37 CFR 1.63, with  
Patent Application Data Sheet
- f) Power of Attorney
- g) Assignment in favor of Simbit Corporation  
with Recordation Form PTO-1619A
- h) Return receipt postcard.

The applicant/inventor(s) qualify for **small** entity status.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to our Account No. 19-5113.

Please note that the address of the agents for the applicant in this matter should be the Montreal address identified in the enclosed Power of Attorney. Correspondence may be directed to Max R. Wood at the Montreal address of Ogilvy Renault.

Respectfully submitted,



Max R. Wood  
Reg. No. 40,388  
Agent of Record  
Encls.

09987828-111601